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> Circular nº 4611/Add.1 30 agosto 2022

A: Todos los Miembros de la OMI Organizaciones intergubernamentales Organizaciones no gubernamentales con carácter consultivo

# Asunto: Comunicación de la Oficina de Coordinación de Asuntos Humanitarios de las Naciones Unidas

La Oficina de Coordinación de Asuntos Humanitarios de las Naciones Unidas ha enviado la comunicación adjunta, con fecha de 29 de agosto de 2022, y solicita que sea distribuida por la Organización.

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29 August 2022

Dear Mr. Secretary-General,

As you know, on 22 July 2022, the Russian Federation, Türkiye, and Ukraine signed The Initiative on the Safe Transportation of Grain and Other Foodstuffs from Ukrainian Ports (the Initiative, also known as the Black Sea Grain Initiative). The Initiative states that a Joint Coordination Centre (JCC) would be established under the auspices of the United Nations, with the representation of the three member states involved, to conduct general oversight and coordination of the Initiative. The JCC was formally established on 27 July 2022, and on the same day, the parties requested that the United Nations serve as the Secretariat for the centre.

The support of the IMO in the start-up and initial operations of the JCC has been critical and has spearheaded the development of its operating procedures.

Following my letter dated 9 August 2022, I am writing to inform you that on 26 August 2022, the JCC approved the final version of the "Procedures for Merchant Vessels" engaged in the Initiative. As such, it would be highly appreciated if the IMO circulate the annexed information to all Member States and Intergovernmental and Non-Governmental Organizations in consultative status with the IMO.

.Allow me to reiterate my deepest thanks to you personally and to your organization for its indispensable provision of guidance and expertise in this venture.

Sincerely,

/hattin Griphtes

Martin Griffiths Under-Secretary-General for Humanitarian Affairs and Emergency Relief Coordinator

Mr. Kitack Lim Secretary-General International Maritime Organization London

Annex: Communication from the ASG, UN Coordinator, JCC with the Procedures for Merchant Vessels



**Black Sea Grain Initiative** 



UN Joint Coordination Centre (JCC) Secretariat Istanbul, Türkiye unjcc@jcc-secretariat.org

Date: 26 August 2022 Ref No: JCC-IST-45

Mr. Martin Griffiths Under-Secretary General for Humanitarian Affairs And Emergency Relief Coordinator United Nations 405 E 42<sup>nd</sup> St, New York, NY 10017, United States

As you know, on 22 July 2022, Türkiye, the Russian Federation and Ukraine signed The Initiative on the Safe Transportation of Grain and Other Foodstuffs from Ukrainian Ports (the Initiative, also known as the Black Sea Grain Initiative). The Initiative states that a Joint Coordination Centre (JCC) would be established under the auspices of the United Nations, with representation of all the Parties, to conduct general oversight and coordination of the Initiative. The JCC was formally established on 27 July 2022, and on the same day, the parties requested that the United Nations serve as the Secretariat for the Centre.

On 6 August 2022, the JCC issued the interim "Procedures for Merchant Vessels" engaged in the Initiative, which have been in force while Parties continued testing the vessels movement and elaborating the Procedures based on gained experience. On 26 August 2022, the JCC approved the final version of the abovementioned document. The procedures are mandatory requirements pursuant to the SOLAS Regulation XI-2/11 and the ISPS Code, Part A, section 4.2 and Part B, section 4.26, as a condition of port entry or departure to or from the Ukrainian ports.

The Parties have requested that the United Nations, as Secretariat of the JCC, give wide dissemination of the Annex B to the SOPs to the maritime industry.

Sincerely,

Amir M. Abdulla

Hodma

Assistant Secretary General, UN Coordinator Joint Coordination Centre, Black Sea Grain Initiative United Nations

Annex: Procedures for Merchant Vessels

Distribution: (by e-mail) International Chamber of Shipping International Association of Classification Societies BIMCO INTERTANKO INTERCARGO INTERMANAGER

# BLACK SEA GRAIN INITIATIVE – PROCEDURES FOR MERCHANT VESSELS

# **BACKGROUND**

- 1. On 22 Jul 2022 the Republic of Türkiye, the Russian Federation, Ukraine and the United Nations signed the Initiative on the safe export of grain, foodstuffs and fertilizers, including ammonia, from the Ukrainian ports.
- 2. The Initiative is based on agreements of parties of the International Convention for the Safety of Life at Sea, 1974, as amended, (SOLAS), Regulations XI-2/11 and the International Ship and Port Facility Security Code (ISPS Code), Part B, paragraph 4.26; as a condition for entry to and departure from Ukrainian ports.
- 3. The purpose of this Initiative is to facilitate the safe navigation for the export of grain, foodstuffs and fertilizers, including ammonia from the Ukrainian the Ports stated in the Initiative as the Ports of Odesa, Chernomorsk and Yuzhny, geographically named in Ukraine as the Ports of Odesa, Chornomorsk and Pivdennyi respectively (the Ukrainian ports).

# **GENERAL INSTRUCTIONS FOR THE INITIATIVE**

- 4. The Initiative can only authorize the export of cargo from the Ukrainian ports. The Initiative cannot authorize the import of cargo into the Ukrainian Ports.
- 5. All vessels shall transmit on Automatic Information System (AIS) at all times whilst participating in the Initiative.
- 6. The operations within the Initiative will be coordinated by the Joint Coordination Centre (JCC) in Istanbul, by representatives of the three parties and the United Nations.
- 7. Vessels must be at Maritime Security (MARSEC) level 3 in compliance with the requirements of the government of Ukraine by the time they arrive at the Turkish Inspection Area (see below) and to be prepared for inspection as described in paragraph 31.
- 8. As a vessel moves through the Maritime Humanitarian Corridor, it is additionally protected by a buffer zone.

8.1. The buffer zone is a circle, 10 nautical miles in radius based on the centreline of the corridor. The buffer zone moves along with the vessel. The buffer zone does not extend past either terminus of the Maritime Humanitarian Corridor.

8.2. No military ships, aircraft or UAV will close to within 10 nautical miles of a merchant vessel transiting the Maritime Humanitarian Corridor.

8.3. Vessels encountering provocations or threats while transiting the Maritime Humanitarian Corridor should report immediately to the JCC using the form in Appendix E of Annex B.

- 9. In addition, the JCC will provide vessel movement information in the Maritime Humanitarian Corridor to all the Parties. Those representatives will transmit that information to all their military authorities, to prevent incidents. If the military authorities have no objection, the JCC representatives from each Party will acknowledge that all military ships, aircraft and units in the area have been notified, and that the vessel can move safely. If a JCC representative informs the JCC of a threat to the merchant vessel (such as military action in the area), the JCC may take action to ensure the safety of the vessel.
- 10. In the case of receiving information regarding a change in the time or date of approach of vessels to the Turkish Inspection Area, the Ukrainian authorities will notify the JCC. If adverse weather does not allow for inspections in one of the Turkish Inspection Areas, vessels will receive instruction on inspection from the Istanbul Port Authority.

11. The following procedure has been developed by the parties and shall be followed by all merchant vessels planning to export grain, foodstuffs and fertilizers, including ammonia from the Ukrainian Ports.

#### **VESSELS ROUTING UNDER THE INITIATIVE**

12. The Initiative protects a route between the Turkish Inspection Area and the Ukrainian Ports. The route is divided into several segments, each of which is subject to different levels of control under the ISPS Code, and different levels of protection under the Initiative. The route is described and located from south to north as follows:

**The Turkish Inspection Area** (coordinates provided by Türkiye): The Turkish Inspection Area are designated temporary anchorage areas for vessels awaiting inspection by JCC Inspection Teams. VTS Istanbul will direct vessels to the appropriate area for inspection. The Turkish Inspection Areas are situated in areas with the following coordinates: (All coordinates in WGS84 Datum)

#### **Turkish Inspection Area N (Black Sea):**

**REF LATITUDE LONGITUDE** 

TE	41 14.90 N	028 59.58 E
TF	41 17.26 N	028 59.58 E
TG	41 17.26 N	029 02.20 E
TH	41 15.50 N	029 04.60 E
ΤI	41 15.20 N	029 04.60 E

#### **Turkish Inspection Area S (Sea of Marmara):**

#### **REF LATITUDE LONGITUDE**

TA	41 00.36 N	028 59.13 E
TB	40 59.33 N	028 58.57 E
TC	40 58.09 N	028 56.47 E
TD	40 59.84 N	028 56.47 E

Vessels transiting to or from the Turkish Inspection Area and The High Seas Transit Corridor to Ref Point (JC) are not restricted to a specific route, but shall pass through Ref Point JB. Port calls, stops and ship to ship transfers are not authorized when transiting this segment, other than for emergencies, where the JCC must be informed.

<b>REF</b> LATITUI	DE LONGITUDE
--------------------	--------------

JB 42 19.15N 029 27.00E

**The Southern Waiting Area.** The Southern Waiting Area is designated as a temporary holding area for vessels engaged in the Initiative. Inbound vessels may use the Southern Waiting Area to await transit during authorized hours. Vessels must inform the JCC if they decide to use the Southern Waiting Area.

LONGITUDE
030 10.00E
030 25.00E
030 25.00E
030 10.00E

**The Maritime Humanitarian Corridor** (**MHC**) includes the High Seas Transit Corridor and the Entrance to Ukrainian Territorial Sea (to the boundary of the Ukrainian Territorial Sea).

**The High Seas Transit Corridor (coordinates developed by JCC).** The High Seas Transit Corridor is an 83 nautical miles long, 3 nautical miles wide, two-way route (Northbound traffic to the East, Southbound traffic to the West). The coordinates of the centre-line of the Corridor are as follows:

LATITUDE	LONGITUDE	COURSE	DISTANCE
		(TRUE)	(NM)
044 53.00N	030 39.50E	004	45
		025	38
	044 53.00N 045 37.10N	LATITUDE LONGITUDE 044 53.00N 030 39.50E 045 37.10N 030 48.10E 046 12.00N 031 10.00E	044 53.00N 030 39.50E 004 045 37.10N 030 48.10E 025

**Entrance to Ukrainian Territorial Seas.** From Point JE, the Maritime Humanitarian Corridor widens and leads to the Ukrainian Territorial Seas Holding Area. The corridor boundaries are defined below:

REF	LATITUDE	LONGITUDE
UA	46 24.00N	030 54.00E
UB	46 19.00N	031 05.00E
UC	46 12.00N	031 07.50E
UD	46 12.00N	031 12.50E
UE	46 27.00N	031 10.50E
UF	46 28.20N	030 58.20E

While transiting the Entrance to the Ukrainian Territorial Seas, the Buffer Zone does not extend into the Ukrainian Territorial Sea. Vessels continue to be protected by the Initiative and Ukrainian authorities. (Coordinates developed by Ukraine.)

**The Ukrainian Territorial Seas Holding Area** (the Holding Area), used for the formation and disbandment of convoys and the disembarkation of pilots is bounded by the following coordinates:

REF	LATITUDE	LONGITUDE
UH	46 30.50N	030 54.00E
UG	46 30.50N	030 58.20E

UI	46 24.00N	030 58.20E
UA	46 24.00N	030 54.00E

**Entrance to the Port of Chornomorsk Waypoints** from the Holding Area to the port of Chernomorsk (Chornomorsk) (Channel width - up to 200 metres):

LATITUDE	LONGITUDE
46 24.00N	030 54.00E
46 20.50N	030 43.20E
46 20.00N	030 42.70E
46 18.842N	030 41.807E
46 19.123N	030 40.596E

**Entrance to the port of Odesa** Waypoints from the Holding Area to the Port of Odesa (Channel width - up to 200 metres):

LONGITUDE
030 54.00E
030 46.44E
030 46.043E
030 44.542E

**Entrance to the Port of Pivdennyi.** Waypoints from the Holding Area to the Port of Yuzhny (Pivdennyi) (Channel width - up to 200 metres):

LATITUDE	LONGITUDE
46 30.50N	030 57.00E
46 32.60N	030 57.00E
46 33.342N	031 00.044E
46 36.187N	031 01.000E

#### PRE-ARRIVAL PROCEDURES

- 13. Vessels intending to load in the Ukrainian Ports should follow their standard procedures for arrivals and departures at the ports. Ukrainian authorities will submit their pre-arrival form to the JCC no later than 8 hours after receipt. The application for authorization is contained in Appendix A.
- 14. No later than 24 hours before arrival of a vessel to the Turkish Inspection Area, Ukrainian authorities will submit their plan for vessels approved to arrive in the Ukrainian ports to the JCC, with all relevant information. Sample plans are contained in Appendices B and C. The JCC will assess requests to clear vessels to be inspected and arrange for Inspection at the Turkish Inspection Area, defined below.
- 15. The Ukrainian authorities will be informed of the results of all inspections as soon as possible after the inspection is complete. If a vessel is not cleared by the JCC for transit, the JCC will notify the Ukrainian authorities immediately, so that arrival schedules can be altered.

#### VESSELS INBOUND TO THE UKRAINIAN PORTS

- 16. After arrival at the Turkish Inspection Area, the JCC Inspection team will embark and conduct an inspection to confirm the absence of unauthorized cargoes and/or personnel (i.e., that the vessel is in ballast and holds empty).
- 17. After the inspection is complete, the Inspection Team will report "Cleared/not cleared to Proceed" to the JCC.
- 18. After receipt and review of the Inspection Team report, the JCC will communicate "**Approved /Not approved to Proceed**" to the vessel and Istanbul Port Authority. A vessel not approved to proceed will receive direction from the JCC on additional procedures and measures. A vessel will not be cleared for the following reasons:
  - 18.1. Submission of false information regarding cargoes or personnel;
  - 18.2. Carrying unauthorized cargoes or personnel. For inbound vessels, no cargo is authorized;
  - 18.3. Failure to transmit AIS signal;

18.4. In addition, any ship deviating from the Maritime Humanitarian Corridor except to enter the Southern Waiting Area), conducting a ship to ship transfer, or conducting an unauthorized stop while transiting the corridor will have clearance revoked.

- 19. A cleared inbound vessel will then proceed and will be technically monitored in transit while proceeding along the Maritime Humanitarian Corridor.
- 20. Inbound vessels entering the Holding Area must embark a pilot and seek instructions from Ukrainian authorities prior to entering.

#### VESSELS OUTBOUND FROM THE UKRAINIAN PORTS

- 21. By 1200 each day, Ukrainian authorities will submit to the JCC a departure plan for the following day (example added) for approval by the JCC. The template for the plan is contained in Appendix B. The JCC will return the approved plan to the Ukrainian authorities by 1600 each day. The JCC will communicate "Approved/Not Approved to Proceed" to the Ukrainian authorities.
- 22. If the vessel departure is delayed, the Ukrainian authorities will inform the JCC.
- 23. The Vessel will be technically monitored while in transit to the Turkish Inspection Area. Upon approach to the Turkish Inspection Area, the vessel will contact the Istanbul Port Authority and request permission to enter using normal procedures. The vessel will then await inspection.
- 24. On completion of the inspection, the Inspection Team reports "Cleared/Not cleared to Proceed" to the JCC. A vessel not approved to proceed will receive direction from the JCC on additional procedures and measures. A vessel will not be cleared for the following reasons:

24.1. Submission of false information regarding cargoes, crew or passengers;

24.2. Carrying unauthorized cargoes, crew or passengers. For outbound vessels, only the following cargoes are authorized:

- a) Grains
- b) Other Foodstuffs, as authorized by the JCC (Sections I-IV of Harmonized System Codes (HS Code 2017-Current)
- c)Fertilizer, including ammonia;

24.3. Failure to transmit AIS signal;

24.4. In addition, any vessel deviating from the Maritime Humanitarian Corridor (except to enter the Southern Waiting Area), conducting a ship to ship transfer, or conducting an unauthorized stop while transiting the corridor may be subject to additional measures.

25. After receiving and reviewing the inspection report, the JCC informs the vessel and Istanbul Port Authority that the vessel is **"Cleared/Not cleared to Proceed"** At this point, if cleared to proceed, the vessel may continue its transit to its destination.

#### PROCEDURES WHILE TRANSITING TO OR FROM UKRAINIAN TERRITORIAL SEAS

- 26. To avoid the danger of floating mines or misidentification in the zone of military activities, vessels must plan their transit of the Maritime Humanitarian Corridor during maximum daylight hours, that is, between 0500 and 2100.
- 27. All vessels transiting the High Seas Corridor must remain in the corridor at all times. Vessels shall display the appropriate lights or day shapes for a vessel restricted in its ability to maneuver (COLREG Rule 27) while transiting in the corridor. Vessels transiting at nighttime or in restricted visibility should illuminate their deck lighting.
- 28. Inbound vessels transiting the High Seas Corridor must proceed, without deviation, to the Ukrainian Territorial Seas Holding Area, maintaining a sharp lookout for floating hazards. The Southern Waiting Area may be used by inbound vessels to await 0500 before commencing the transit.
- 29. Outbound vessels, upon departing Ukrainian Territorial Seas, should proceed through the Entrance to the Ukrainian Territorial Seas area to point JE, the entrance to the High Seas Corridor. Vessels should maintain a sharp lookout for floating hazards.
- 30. For vessels inbound to Ukrainian ports: Inspection should be conducted only after the vessel has completed all actions such as:
  - 1. bunkering;
  - 2. crew change;
  - 3. receipt of supplies;
  - 4. hull cleaning etc.

For outbound vessels from Ukrainian ports: Inspection must be carried out before the vessel performs any of the actions listed above while in the Turkish Inspection Area.

#### **COMMUNICATIONS**

As per Annex C

#### PREPARING FOR INSPECTION

31. Vessels should make the following preparations prior to the start of the inspection:

31.1. The vessel should implement the requirements of MARSEC Level 3, as defined by the Government of Ukraine, from the Turkish Inspection Area to the Ukrainian ports, for inbound vessels, and vice versa for outbound vessels.

31.2. For bulk carriers, cargo hatches open, if safe, and cargo and machinery areas ready for inspection. Vessels must confirm that any confined spaces being inspected are safe for entry.

31.3. All vessels documentation available, including crew list and tank sounding logs. A complete list of documents is contained in the Appendix D.

# APPENDIX A TO ANNEX B

VE	SSEL DETAILS				CREW DETAILS
Name			Name		Nationality
Туре			Name		reationality
IMO Number					
MMSI Number					
Call Sign					
Owner / Nationality					
Flag					
Port of registry					
LOA					
Beam					
Ballast draft (fore/aft)					
Maximum draft (fore/at	ft)				
GRT / DWT					
Economical / Optimum Spe	ed				
Main engine power					ITINERARY
Gearbox					
			Last port of call		
COMPLETED FORM OF 24 HOURS PRIO			Targeted departure date	)	
INSPECTION AREA			Next port of call		
			Expected Cargo		
			Expected Cargo destina	tion	
			CONTACT DETAILS		•
Captain Name	Mobile No	Sat Phone	Sat C	Em	ail Address

# \_ 2022

Vessel movement plan – Outbound Vessel

(All Times UTC+3)

	Vessel name	Flag	IMO number	Port of departure	Berth of departure /ETD	ETD from UA TTS	ETD MHC	ETA Turkish Inspection Area	Cargo destination port	Cargo	Cargo Volume	Notes
1												
2												
3												

# \_\_\_\_ 2022

# **Vessel Movement Plan – Inbound Vessels**

# (All Times UTC+3)

N⁰	Vessel	Flag	IMO	Port of	Berth at	ETA Turkish	ETD Turkish	ETA MHC	ETA	Cargo	Notes
	name		number	destination	destination	Inspection Area	Inspection Area		UA TTS		
-	-	-	-	_	-	-	-	-	-	-	-

# APPENDIX D TO ANNEX B

#### **JCC Inspection Checklist**

- 1. General Arrangements / Vessels Plans
- 2. Vessels's particulars
- 3. Crew list on arrival
- 4. Port clearance from last port
- 5. Departure crew list from last port
- 6. Stores list on arrival
- 7. Stores list on departure from last port
- 8. Bunker delivery report (last)
- 9. List of last 10 ports of call
- 10. Inbound vessels Passage Plan from last port of call to Istanbul
- 11. Oil Record Book Part I Copies of last page (to all parties-four copies)
  - a) Page with records of last bunkering
  - b) Page on departure from last port
- 12. Logbook (copies to JCC pre-inspection)
  - a) Last page
  - b) Page on departure from last port
  - c) Page with bunkering operations
- 13. Voyage order
- 14. Cargo plan
- 15. Cargo manifest
- 16. Certificate of Registry
- 17. Document of Compliance
- 18. International Ship Security Certificate
- 19. Continuous Synopsis Record
- 20. International Tonnage Certificate
- 21. Load Line Certificate
- 22. Minimum Manning Certificate
- 23. Statement of Compliance Cargo in Bulk (MARPOL Annex III)
- 24. Relevant to the carriage of grain in compliance with IMO resolution
- 25. Attestation
- 26. Compliance to fuel oil consumption reporting
- 27. Compliance of inventory of hazardous materials
- 28. Bills of Lading
- 29. Passports/Seaman Books



## BLACK SEA GRAIN INTIATIVE JOINT COORDINATION CENTRE

**VESSEL INCIDENT REPORTING FORM** 

# FOR USE BY VESSELS PARTICIPATING IN THE INITIATIVE ENCOUNTERING PROVOCATIONS, THREATS OR OTHER INCIDENTS

VESSEL NAME	
IMO NUMBER	
SATELLITE PHONE #	
<b>OTHER PHONE #</b>	
E-MAIL ADDRESS	
DATE/TIME OF INCIDENT	
LOCATION OF INCIDENT (LAT/LONG)	
DESCRIPTION OF INCIDENT	

SUBMIT TO JCC AT: <u>ADMIN@JCC-SECRETARIAT.ORG</u>

PHONE +90 212 398 0169